REMARKS:

RESPONSE TO CLAIM REJECTIONS UNDER 35 §USC 103

First Rejection

The Examiner has rejected claims 1-6 and 10-12 under 35 USC §103(a) as unpatentable over Evans (U.S. Patent No. 5,924,074) in view of McCormick (USPAP 2002/0120573). Applicant respectfully traverses this objection. Applicant requests that the Examiner reconsider and withdraw the above rejection of the claims in view of the following:

Applicant respectfully submits that nothing in the art of record teaches or suggests the present invention.

To establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art and not based on applicant's disclosure. *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991). MPEP 706.02(i).

In this instance, none of the three basic criteria are met; therefore, a case for obviousness is not established. The prior art references, neither singularly or combined, teach the novel aspects of the Applicant's invention.

(a) Evans does not teach a system for prescribing medications through the Internet. The Examiner stated the Evans teaches a "secured, interactive website for entering and retrieving prescriptions, the website accessible via the internet by a general use computer" in Figure 24 and column 12 line 55 through column 14 line 25. Applicant respectfully submits that the Examiner has modified and redesigned the Evans reference. In actuality, Evans teaches away Claim 1 subpart (a). Figure 24 and the section of Evans cited by the Examiner do not teach a website. Evans merely teaches the "EMR system providing for communication through the World Wide Web."(Column 13 lines 20-30) Evans teaches that health care providers can access the WAN through the web using a web browser. However, no website is mentioned or taught. Evans is, therefore, merely teaching the use of a web browser to access patient files on the WAN, not a website. This is simple file sharing, not "a secured, interactive website."

In fact, Evans teaches away from a website. Evan teaches a point of care system which includes a graphical user interface with a tabbed layout, not an interactive website. The Azron Medication Manager of Figure 21 also teaches away from website as it shows graphical user interface not a website.

The Examiner has mischaracterized the Evans disclosure. In addition to not mentioning any website, Evans also fails to teach either an "interactive" website or a "secured" website as claimed by the Applicant. Neither the ability to be interactive nor security is discussed in the Evans patent because Evans teaches the use of a web browser not a website.

(b) The Examiner rejects subpart (b) as obvious in light of McCormick. However, there is no motivation to combine Evans and McCormick. First, Evans does not teach nor even discuss a website anywhere, hence there is no motivation to combine Evans with the encrypted website of McCormick. Second, McCormick teaches away from combining the two references as it is a system for marketing to physicians, not a system for prescribing medication. A marketing system for the transfer of sales information between a drug company hawking it's products and potential customer physician is simply not analogous to the secured transfer of a prescription between a physician and the pharmacist.

Third, Evans teaches only a password protected EMR system, <u>not</u> an encrypted website. Password protection is not analogous to encryption as it does not protect data once accessed. Encryption is a notable advance in technology over password protection as it mathematically scrambles information into indecipherable data that cannot be read or understood without mathematical function to decode the scrambled data. Encryption allows the information transferred between the website and the user to be protected from third party readers once the user has already passed the password protection protocols. Adding encryption to the website is an additional layer of protection in the Applicant's website. Evans did not teach this additional protection.

(c) Again, Examiner has modified the teachings of Evans. Evans teaches a medical records system, networked for healthcare providers. Evans fails to teach a

website for prescribing medication that can be accessed by pharmaceutical personnel. At no point does Evans teach that pharmaceutical personnel would have access to the EMR. Evans teaches only that a physician may prescribe medication through the medication manager window. The "healthcare providers" described in Evans are not defined to include pharmacists. In fact, Evans teaches away from this. Evans' Claim 1, Fig 16, 17a, 17b classifies his EMR as in communication with external systems. In column 10 lines 41-42, these external sources are listed as "a laboratory or pharmacy." Healthcare providers as defined by Evans, therefore, do not include pharmacies. Pharmacies are sources external to the Evans' EMR and, as such, do not have access to the EMR and do not have authorized ID's.

Additionally, Evans teaches away from allowing pharmacists access to the EMR system in Column 11 lines 1-9. Evans teaches that the once a healthcare provider prescribes the medication through the EMR medication manager, the EMR transmits the prescription to the pharmacy. Pharmacists are not include in the EMR and do not have access to the EMR as they are not included by Evans as healthcare professionals.

- (d) Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.
- (e) Again, Examiner has misquoted and modified the teachings of Evans. No mention of a high security internet service provider is made anywhere in Col. 12 lines 62- Col. 15 lines 32. Neither is there any discussion or mention of a website.

- (f) Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.
- (g) Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Claim 2:

In rejecting Claim 2 the examiner asserts that Evans teaches a system wherein the website provided further comprises Internet links to one or more drug information databases comprising drug history, adverse reactions to drugs, interactions between two or more prescribed medications. Once more, the Examiner redefines Evans. Evans does not teach a website at all. Evans merely teaches a method of using a web browser to transfer information, not a secured, interactive website.

Moreover, Evans also does not teach Internet links to drug information databases. Evans teaches that the medication manager of the EMR contains a database internally to check drug interactions. Col. 11 lines 10-22. Internet links allow the user to access drug information databases external to the website of the present invention. By teaching that the medication manager of the EMR system provides drug information, Evans is teaching away form the internet links of the present invention.

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Claim 4

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Claim 5

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Claim 6

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Claim 10

In response to the Examiner's rejection of claim 10, the Applicant reasserts that Evans does not disclose a website at all.

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Claim 12

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Second Rejection

The Examiner has rejected claims 7 and 8 under 35 §USC 103(a) as unpatentable over Evans and McCormick in view of Mayaud.

Claim 7

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers. None of the three cited prior art references teach a secured, interactive website as disclosed by applicant.

Examiner has cited one feature of a dependent claim. A claim in dependent form is construed to incorporate by reference all the limitations of the claim to which it refers.

Third Rejection

The Examiner has rejected claim 9 under 35 §USC 103(a) as unpatentable over Evans and McCormick in view of Boyer (U.S. Patent No. 5,907,493). Applicant respectfully traverses this objection. Applicant requests that the Examiner reconsider and withdraw the above rejection of the claims in view of the following:

Claim 9

Examiner has modified the teachings of Evans and Boyer. Evans does not teach a secured interactive website for entering and retrieving medical prescriptions. Evans merely teaches the "EMR system providing for communication through the World Wide Web."(Column 13 lines 20-30) Evans teaches that health care providers can access the WAN through the web using a web browser. However, no website is taught or even mentioned, in the Evans reference. Evans is, therefore, only teaching the use of a web browser to access patient files on the WAN, not a website.

Also, Boyer does not teach a system wherein pharmacy personnel retrieve prescription information entered into a patient file. Boyer teaches a system where pharmacy personnel retrieve the filled prescription "as it is filled". Col. 5 lines 63-65. In Boyer, pharmacy personnel are retrieving a bottle of pills, not information.

REQUESTS

Applicant respectfully requests Examiner's withdrawal of the previous rejection under 35 U.S.C. §103 and consent to allowance of Applicant's claims 1-12.

Applicant respectfully requests a telephone interview with Examiner to resolve any questions related to this response.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention is a system and process for prescribing medications through the Internet using a medical prescription service website that is accessible to licensed users for entering and retrieving medical prescriptions. The term "Internet" encompasses the World Wide Web. Advantageously, the system and process is secured by encryption so that only users, the prescribing doctor and pharmacists for example, who are properly identified as an authorized user can enter the secured pages of the website. In this way, a doctor or his authorized medical personnel can enter a prescription quickly and easily onto the secured website of the medical prescription service. The pharmacy selected by the patient can access the medical prescription service website, locate the patient's record, obtain the prescription and fill it within minutes of entry by doctor. The prescription can be entered by any licensed medical doctor from anywhere in the world with access to the Internet, and filled by any pharmacy with access to the Internet. Patient information is secured though an encryption system thereby protecting patient privacy and medical information from the general public.

The users of the systems and processes of this invention are preferably limited to clients who are licensed physicians, their authorized personnel and licensed pharmacy personnel. Licensed physicians means licensed to practice medicine. Preferably, clients are authorized to use the system and processes of this invention by registration as illustrated in the flowchart of Fig. 7.

[[FIGURE 7 DELETED]]

A licensed physician or pharmacist contacts the medical prescription service (mps) via its web home page, which is accessible to the general public. Alternatively the medical prescription service can be contacted by email, regular mail, fax, etc. Preferably, the potential client logs on to the website home page 710 and clicks onto a new user information form 715. The form is emailed to the medical prescription service 720. The medical prescription service verifies the professional license of the client 720 and assigns the client an encrypted login password or code 735. The encryption login code is securely transmitted to the client.

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Alternative methods of securing the medical prescription service website are sophisticated bodyscan coding. Bodyscan coding uses the client's eye, finger or hand prints to identify the client as an authorized user. The client's computer is adapted to scan the body part and transmit the information to the medical prescription service host computer which matches the scan to a list of authorized clients. Other methods of identifying the client so that only authorized users can access the sensitive information on the medical prescription service website can be also be used to limit access.

Payment for the service by the client physician or pharmacist can be made through a secure financial transaction system using a credit card. Secured financial transaction systems are known in the art available and easily available. Alternatively, direct banking or other methods of payment can be used.

[[FIGURE 1 DELETED]]

Once the client has a secured, encrypted login password, the client can gain access to the medical prescription service website as illustrated in Fig. 1. Referring to the schematic of Fig. 1, the client doctor or pharmacy connects to the Internet by means of a general use computer 110, 115 via his or her own Internet Service Provider (ISP) 120, 125. The client can use any type of computer hardware that gives the client access to his or her ISP. New computer-type systems, not yet available, are within the scope of this invention if they enable access to the Internet and the website of the medical prescription service. The medical prescription service is contacted by way of its own ISP 130. The medical prescription service maintains a website having web pages for identification of clients, entry of prescriptions and patient, drug or medical informational databases. The introductory and login page(s) for the website are stored on the ISP 130 of the medical prescription service. Access to the introductory and login pages is available to the general public via the Internet.

Patient information, medical information databases, drug information databases and any other medically related database or sensitive data are stored on a remote server maintained by the medical prescription service. A server is a computer that is connected to one or more other computers allowing access to the data and programs stored on it.

[[FIGURE 3 DELETED]]

Pending successful identification of the user as shown in Fig. 3, access to the remote server is only available to clients with a secured, encrypted pass code or I.D., body scan, for example. Absolutely no access to the remote server is permitted until after the visitor to the medical prescription service homepage correctly enters all of the necessary security information. This information would typically consist of a subscriber ID number, username and secured, encrypted password, code or bodyscan.

Upon verification of the login information, the medical prescription service ISP 130 accesses the remote server 135, which records a log of that client's admittance into the system, and presents the customer with a list of options, such as updating an existing patients' record, viewing a patient record, etc.

[[FIGURE 2 DELETED]]

Patient records can be stored on a highly secure and recoverable storage system. Preferably, the backup system is a fail-safe system or safety check 250 that activates when the primary system fails so that there is no interruption of service. Other backup systems can also be used such as a RAID (Redundant Array of Inexpensive Disks), which is also backed up daily to an external medium 150 such as tape, removable disk or recordable CD. Should disaster strike and one or more of the drives in the array fail, the data can be restored via the other drives in the array or from the backup media. In case of catastrophe, such as fire, flood, or other non-recoverable destruction of patient records, a reasonably current copy of all data can be stored at a Remote/Off-site location 150.

A secure Internet information server is required for the medical prescription service of this invention. Preferably, the server can support a high bandwidth connection to the Internet, encryption and support for redundant and highly secure storage devices such as RAID (Redundant Array of Inexpensive Disks) controllers and removable media backups. Hardware and operating system software may vary. Encryption as use in reference to this invention is any procedure that converts data into a form that prevents anyone but the intended recipient from reading the encrypted data. Both Netscape's® NavigatorTM and Microsoft's® Internet ExplorerTM

have encryption built in and automatically use it whenever transmitting data over a secure network. Preferably, other secure encryption programs can be used to ensure that access to the medical prescription service website, other than the homepage, is limited to authorized clients. Alternatively, host Internet server systems are available that can provide a secured website. One such fully functional Internet server system is marketed under the trademark, VSERVERTM.

High capacity storage and backup both on and off site are preferred. For primary storage, a ratio of less than one megabyte of storage per patient, physician and pharmacy can be used for storing patient prescription, physician and pharmacy identification information. Alternatively, the storage space can be increased or decreased depending on the amount of data regarding each patient that is desired. In one embodiment, about 10 to 20 gigabytes of additional storage are preferred for the system software and operating system. Again, the amount of storage space is dependent on the amount of data and databases the medical prescription service desires to be available to clients. Alternative embodiments of this invention can include a system and process of storing a patient's entire medical history as well as pharmaceutical information. These embodiments require additional storage space.

In one aspect of this invention, the Internet service provider can also store the encrypted patient information and drug prescription information. The preferred Internet service provider comprises a secure server that allows a remote server to be connected to its network. Storing the sensitive patient information and drug prescriptions on a remote server that is operated by the medical prescription service is an additional security precaution. Preferably, sensitive patient information is not stored by a third party server. Preferably, the data is not stored on a system shared by unauthorized users, vulnerable to hacking or other abuse. Control over backups and the integrity of patient information is paramount to the successful operation of this invention.

In an alternative system, security can be maintained through the use of "Digital Certificates, electronic files that act like an online passport. They are issued by a trusted third party, a certificate authority (CA), which verifies the identity of the certificate's holder. They are tamper-proof and cannot be forged. Both Netscape's®

Navigator™ and Microsoft's® Internet Explorer™ (versions 3 and above respectively) support Digital Certificate. Access is available via "http://home.netscape.com/security/techbriefs/index.html". An ODBC (Open Database Connectivity) compliant database in which to store patient records is also preferred. ODBC databases are accessible over a network and capable of being manipulated using Structured Query Language (SQL). SQL server software can be installed on the remote server to access and modify the patient database.

In one embodiment of the present invention, the user/client accesses the website via the Internet. The homepage for the website can reside on the medical prescription service's ISP (Internet Service Provider) and consists of an introductory splash screen along with links to information about the site and its services, contact information, and membership application, as well as a link for accessing patient information. At this level, all website information resides on the ISP. Absolutely no access to the remote server containing crucial and sensitive patient information or databases is permitted until after the visitor passes all necessary security.

Preferably, the user enters an ID, body scan, username or password before gaining access to the remote server. Upon verification, the ISP connects to a remote server using an encrypted and secure link. "Encryption" refers to the encoding of information transmitted over the Internet to prevent it from being read by anyone without the proper authorization. Encryption is built in to the most popular web browsers in use today (Microsoft's® Internet ExplorerTM and Netscape's® NavigatorTM/Communicator SuiteTM) and is performed automatically. "Encryption challenged" web browsers will not be permitted to enter the system.

The remote server then acknowledges or identifies the client by name and presents the client with a menu of available options. The client enters the identifying information of the patient whose records they wish to access. This can comprise the patient's name, ID number, social security number, driver's license number, phone number, or any combination thereof. The system then retrieves the patient's record and displays any pertinent information and/or a menu of options. Alternatively, the doctor/client can create a patient record file with patient identifying information as illustrated in Fig. 4.

[[FIGURE 4 DELETED]]

Patient records are then accessed and displayed for the client. If changes or updates are made to the patients record, such as the addition of a new prescription, the system then can check the new medication against the list of other drugs and therapies the patient may be under. This check searches for dangerous drug interactions or any activities that should not be followed while using said medication. Only doctors with the proper authorization code are allowed to make changes to a patient record.

After the doctor or one his authorized medical personnel enters the prescription, the doctor can request another patient record or log off. If the doctor/client fails to log off, automatic log off occurs within a specific period of time, fifteen minutes for example.

The patient, patient's representative or doctor can then contact a client pharmacy to have the prescription filled.

[[FIGURE 6 DELETED]]

The client/pharmacy, registered according to the flowchart of Fig. 7, connects to the Internet by means of a computer and its ISP. As depicted in Fig. 6, it accesses the medical prescription service's home web page, enters its I.D., pass code or body scan and is connected to the patient record. The pharmacy can download the prescription or make a hard copy so that prescription can be filled. The client/pharmacy then records that prescription is filled and logs off. Automatic log off occurs within a specific period of time, fifteen minutes for example. The pharmacy can make a further request. The system then reports back to the client the results of their request regarding databases or links available on the medical prescription service website. From there, the pharmacy/client can either modify their request, access another patient record or logoff.

The foregoing description is illustrative and explanatory of preferred embodiments of the invention, and variations in the size, shape, materials and other details will become apparent to those skilled in the art. It is intended that all such variations and modifications which fall within the scope or spirit of the appended claims be embraced thereby. Although described in terms of the preferred

embodiments shown in the figures, those skilled in the art who have the benefit of this disclosure will recognize that changes can be made to the individual steps which do not change the manner in which the system and process achieve their intended result. All such changes are intended to fall within the scope of the following non-limiting claims.